



INFORMATION DISCLOSURE STATEMENT PURSUANT TO 37 C.F.R. §§1.97-1.99

PATENT APPLICATION

Applicants: Bartley, et al.

Docket No.: ROC920010184US1

FOR:

APPARATUS, METHOD,

Serial No.: Not yet assigned

AND BUSINESS METHOD

FOR ENABLING CUSTOMER

ACCESS TO COMPUTER

SYSTEM EXECUTION DATA

IN EXCHANGE FOR

SHARING THE EXECUTION

DATA

Filing Date: Filed herewith

Commissioner of Patents and Trademarks Washington, D.C. 20231

Sir:

In accordance with the duty of disclosure under 37 C.F.R. §1.56 and pursuant to 37 C.F.R. §§1.97-1.99, Applicant(s) hereby notifies the U.S. Patent and Trademark Office of the documents listed on the attached Form PTO-1449. One copy of each cited document is submitted herewith. Applicant respectfully submits that all pending claims are patentable over the foregoing references, alone or in combination. The Examiner is requested to initial the enclosed Form PTO-1449 and return a copy thereof to the undersigned.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant reserves the right to dispute any of the listed documents as prior art during examination.

Further, Applicant does not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application. Furthermore, the submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made or that no other material information may exist.

This Information Disclosure Statement is being filed within three months of the filing date of the captioned patent application, and therefore no certification under 37 C.F.R. §1.97(e) or fee under 37 C.F.R. §1.17(p) is required.

Respectfully submitted,

Derek P. Martin

Reg. No. 36,595

Enclosures:

PTO-1449

Copies of Cited References

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ATTY. DOCKET NO. SERIAL NO. ROC920010184US1 Not yet assigned **APPLICANT** Bartley, et al.

GROUP

Not yet assigned

INFORMATION DISCLOSURE **CITATION**

Form PTO-1449 U.S. DEPARTMENT OF COMMERCE

(REV. 8-83) PATENT AND TRADEMARK OFFICE

(Use several sheets if necessary)

FILING DATE

Filed herewith

		<u> </u>	U. S. PATE	NT DOCUMENTS				
EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	DA	JNG FE IF PRIATE
		5,961,596	10/05/99	Takubo et al.	709	224		
		5,949,976	09/07/99	Chappelle	395	200.54		
		5,796,633	08/18/98	Burgess et al.	364	551.01		
		5,758,071	05/26/98	Burgess et al.	395	200.5		
		5,696,701	12/09/97	Burgess et al.	364	551.01		
		5,627,766	05/06/97	Beaven	364	551.01		
		5,408,618	04/18/95	Aho et al.	395	325		
		4,905,171	02/27/90	Kiel et al.	364	551.01		
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	•						TRANSLATION	
REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB- CLASS	YES	NO	
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	OTI	L HER DOCUMEN	TS (Including	Author, Title, Date,	Pertinent P	l ages Etc.)	<u> </u>	
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DATE CONSIDERED

*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

EXAMINER

